

## **General Declaration of Interest (GDoI) Form**

In accordance with the "Code of Good Administrative Behaviour of the Joint Undertaking", Governing Board Decision of 22<sup>nd</sup> October 2007 (F4E07-GB03-09) and in particular based on the Governing Board (GB) Decision of 11<sup>th</sup> June 2014<sup>1</sup> adopting **Rules on the Prevention and Management of Conflict of Interest regarding staff members of the Joint Undertaking ("CoI Rules")**, you are asked, in line with Articles 9 (1) of those Rules, to fill in this General Declaration of Interest (GDoI) Form.

Only staff members who are required according to the List of post and functions according to Article 7 (3) of the CoI Rules, and adopted by this decision, have to submit the following GDoI Form, i.e. **staff occupying a post AD 9 or above, as well as staff members who independently of their grade exercise a management function.**

A Conflict of Interest is defined<sup>2</sup> as "a conflict between the public duty and private interests of a public official, in which the public official has private-capacity interests which could improperly influence the performance of their duties and responsibilities".

Having an interest does not necessarily mean having a conflict of interest. In particular, high quality of expertise is by nature based on prior experience. Declaring an interest does therefore not automatically disqualify a person or limits his/her participation in the activities of the Joint Undertaking.

This Form does not contain an exhaustive list of potential interests and all other elements that might jeopardise your independence when working with the Joint Undertaking shall thus also be indicated.

Please specify the interests that you, your spouse or partner currently have or have had in the past 5 years preceding the submission of the GDoI. Do not indicate names of the spouse or partner.

Should there be any change to the information provided due to the fact that you acquire (new or additional) interests, you shall immediately notify the Joint Undertaking and complete a new GDoI Form.

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<sup>1</sup> F4E(14)-GB29-12.1.

<sup>2</sup> Managing Conflict of Interest in the Public Service: OECD Guidelines and Country Experiences (OECD, Paris, 2003) and F4E CoI Rules, Fn.1.

**First name:** Jean-Marc

**Last name:** FILHOL

**Personnel No.:** 315149

**Function / Unit :** Head of ITER Department

I hereby declare the following interests (if any):

*see the categories of interests – in line with Article 8 CoI Rules - from next page on. The following categories of interest shall be declared if they are or could be perceived as related to the domain or activity of Fusion for Energy.*

*All pages have to be signed and dated. If the document is completed by hand, please ensure that the information required is presented clearly. If you need more sheets, please use additional blank ones, date and sign each of them and attach them to this Form.*

## I. Past and Present Professional Interests

Professional interests shall be declared covering the last five years, before joining the Joint Undertaking (JU), like any other current professional interest as described below:

Professional interests shall include contractual arrangements or other arrangements entitling the staff member to return to his previous posts or other posts held in organisations, institutions, companies, foundations or similar bodies (the nature of the post and the name of those bodies shall also be indicated) as well as other membership/affiliation or professional activities having been held or being still held, including services, liberal professions, consulting activities, with pecuniary benefits or other benefits, including notably:

- Permanent or temporary employment or work contracted out through consultancy;
- Participation in internal decision-making or advisory bodies (e.g. directorships, board membership, executive or non-executive directorship).

none

yes, in particular:

<b>Title/Function</b> (you, your spouse or partner)	<b>Period of activity</b> (last 5 years before joining the JU)	<b>Company or organization</b>	<b>Description of the professional interest</b>
Project Director of SOLEIL the French Synchrotron Radiation Facility	From 2001 To 31/07/2011	Société civile Synchrotron SOLEIL	The project is financed by CEA and CNRS, the 2 research organisms. I was staff of the CEA during the year 2001 and then staff of SOLEIL Synchrotron, on secondment from the CEA from 2002 till 2011. I was hired by F4E on 01/08/2011, and, like all staff coming from the CEA, I have still the possibility to return to the CEA, when my contract with F4E will be terminated.

## **II. Financial Interests**

Any direct financial interests, including ownerships of patents/trademarks, or assets (shares or securities held in companies) or grants or other funding received over the last five years which might create a Conflict of Interest in the performance of duties, with an indication of their number and value, as well as the name of the company/provider of the grant or funding.

This shall notably include the holding of stocks and shares, equity, bonds, partnership interests in the capital of an organisation, its subsidiaries or affiliates (e.g. via a holding arrangement) related to the activities of the Joint Undertaking..

Financial interests need not be disclosed unless they have a market value of greater than 20,000 Euros<sup>3</sup>. Share portfolios need not be disclosed if the management arrangement is in the form of an investment fund or equivalent.

none

yes, in particular:

## **III. Intellectual Interests**

Intellectual Interests shall include interests of the staff member during the preceding five years, arising from professional activities or affiliation with national or international organisations or bodies (paid or unpaid), which are related to the domain of activity of F4E and which have a direct impact on the staff member's independence and impartiality.

Intellectual interest is often a prerequisite for the scientific excellence and not necessarily considered an undesirable bias. However, it can also have an impact on professional attitudes.

*"Intellectual Interests" include rights granted to creators and owners of work that are the result of human intellectual creativity, e.g. publications or in the industrial or scientific domain. They can be in the form of an invention, a manuscript, a suit of software, or a business name (copyrights etc.); unless already covered by point II. "Financial Interests".*

none

yes, in particular:

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<sup>3</sup> The value of the held shares is evaluated by using the share price at 31.12. before the year of this Declaration.

**IV. Other relevant interest:** any interest/information, relating to the past five years, and not falling under the categories provided above which could be relevant for the purposes of the present Rules.

none

yes, in particular:

**I hereby declare that I have read the *Rules on the Prevention and Management of Conflict of Interest regarding staff members of the Joint Undertaking*<sup>4</sup> and that the above Declaration of Interest is at my best knowledge complete.**

As I have never worked in the Departments of the CEA that are working on Fusion, and as I don't have any flagged position in case I will return to the CEA after leaving F4E, I don't consider that my potential "return ticket" to the CEA put me in any situation of conflict of interest, even for what concerns grants/contracts with the CEA.

Fusion for Energy will ensure on that your **personal data** hereby submitted is processed as required by Regulation (EC) No 45/2001 on *the protection of individuals with regard to the processing of personal data by the Community institutions and bodies and on the free movement of such data*. Recipients of this information can process the data only for the purpose of which these were provided to them. The internal recipients at Fusion for Energy which are authorised to receive the data and the rights of the individual are mentioned in the respective Privacy Notice.

I understand that, pursuant to Article 7 (4) CoI Rules the GDoIs are stored on a dedicated internal database. Only the GDoIs of the senior management will be published on the Joint Undertaking's public website, Article 22 (1) CoI Rules<sup>5</sup>.

**Date:** 18-Dec-2014

**Signature:**



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<sup>4</sup> See Fn. 1

<sup>5</sup> Any further request for making the GDoI's public would be based on the applicable procedures regarding requests for public access to documents pursuant to Article 15 of the Treaty on the Functioning of the European Union and in particular the GB Decision of 17<sup>th</sup> July 2007 (F4E (07)GB-02-04.5) concerning "Transparency and Public Access to the Documents of the Joint Undertaking".